

FORM LM-30

LABOR ORGANIZATION OFFICER AND EMPLOYEE REPORT

This report is mandatory under P.L. 86-257, as amended. Failure to comply may result in criminal prosecution, fines, or civil penalties as provided by 29 U.S.C 439 or 440.



READ THE INSTRUCTIONS CAREFULLY BEFORE PREPARING THIS REPORT.

1. File Number U- <u>7225</u>	2. Fiscal Year Covered From: 1 / 1 / 2004 Through: 12 / 31 / 2004
3. Name and address of person filing. Name <u>Dwayne</u> <u>T Stephens</u> P.O. Box, Bldg., Room No., if any Street <u>14631 west 62 Avenue</u> City <u>Arvada</u> State <u>Colorado</u> ZIP Code + 4 <u>80004</u>	4. Name, file number, and address of labor organization. Name <u>Sheet Metal Workers Local #9</u> Labor Organization File Number <u>026-570</u> P.O. Box, Building and Room Number, if any Street <u>7510 W. Mississippi #200</u> City <u>Lakewood</u> State <u>Colorado</u> ZIP Code + 4 <u>80226</u>
5. Position in labor organization. <u>Business Manager</u>	

Enter appropriate data below if, during the past fiscal year, you or your spouse or minor child directly or indirectly had any of the following interests (except as specified in the exclusions set forth in the instructions):

A. Held an interest in, engaged in transactions (including loans) with, or derived income or other economic benefit of monetary value from an employer whose employees your organization represents or is actively seeking to represent.	
6. Name and address of Employer (including trade name, if any). Name Trade Name, if any: P.O. Box, Bldg., Room No., if any Street City State ZIP Code + 4	7.a. Nature of Interest, Transaction, or Income. 7.b. Amount.

Signature

15. Signature and verification. The undersigned declares, under penalty of Perjury and other applicable penalties of the law, that all of the information submitted in this report (including the information contained in any accompanying documents), has been examined by the signatory and is, to the best of the undersigned's knowledge and belief, true, correct, and complete. (See the section on penalties in the instructions.)		
Signed <u>Dwayne Stephens</u>	On <u>8/9/2005</u> Date	(303) 922-1213 ext. 11 Telephone Number

Name of Person Filing Dwayne Stephens	File Number U-
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B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Colorado Sheet Metal Workers Aprrentice Comm

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1515 W. 47th Ave

City Denver

State Colorado ZIP Code + 4 80211

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Colorado Sheet Metal Workers Aprrentice Comm

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 1515 W. 47th Ave

City Denver

State Colorado ZIP Code + 4 80211

11.a. Nature of such dealing.

Sheet Metal Workers Industry Week.
Registration and delegate fees.

11.b. Approximate dollar value of such dealing. \$495

12.a. Nature of interest held or income received.

12.b. Amount.

C. Received from any employer (other than an employer covered under parts A and B above) or from any labor relations consultant to an employer any payment of money or other thing of value.

13.a. Name and address of Employer or Labor Relations Consultant (including trade name, if any).

Name

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street

City

State ZIP Code + 4

14.a. Nature of payment.

13.b. Is the Business an Employer ☐ or Consultant ☐ ?

14.b. Amount of payment.

Name of Person Filing Dwayne Stephens

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name National Energy Managment Institute Committe

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any

Street 601 N. Fairfax Street Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name National Energy Managment Institute Committe

Trade Name, if any: NEMIC

P.O. Box, Bldg., Room No., if any

Street 601 N. Fairfax Street Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

11.a. Nature of such dealing.

Residential task force meeting.
Expense reimbursment

11.b. Approximate dollar value of such dealing.

\$813

12.a. Nature of interest held or income received.

12.b. Amount.

Name of Person Filing Dwayne Stephens

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name National Energy Managment Institute Inc.

Trade Name, if any: NEMI

P.O. Box, Bldg., Room No., if any

Street 601 North Fairfax Street Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name National Energy Managment Institute Inc.

Trade Name, if any:

P.O. Box, Bldg., Room No., if any

Street 601 North Fairfax Street Suite 250

City Alexandria

State Virginia ZIP Code + 4 22314

11.a. Nature of such dealing.

Residential task force meeting.
Expense reimbursment

11.b. Approximate dollar value of such dealing. \$963

12.a. Nature of interest held or income received.

12.b. Amount.

Name of Person Filing Dwayne Stephens

File Number U-

Part B Continuation Page

B. Held an interest in or derived income or economic benefit with monetary value from a business (1) a substantial part of which consists of buying from, selling or leasing to, or otherwise dealing with the business of an employer whose employees your labor organization represents or is actively seeking to represent, or (2) any part of which consists of buying from or selling or leasing directly or indirectly to, or otherwise dealing with your labor organization or with a trust in which your labor organization is interested.

8. Name and address of Business (including trade name, if any).

Name Stabilization agreement of the sheet metal

Trade Name, if any: SASMI

P.O. Box, Bldg., Room No., if any

Street 601 North Faifax Street Suite 400

City Alexandria

State Virginia ZIP Code + 4 22314

9. Business deals with:

☐ a. Labor Organization

☒ b. Trust

☐ c. Employer

10. If 9.b. or 9.c. is checked give trust or employer's name.

Name Stabilization agreement of the sheet metal

Trade Name, if any: SASMI

P.O. Box, Bldg., Room No., if any

Street 601 North Faifax Street Suite 400

City Alexandria

State Virginia ZIP Code + 4 22314

11.a. Nature of such dealing.

Trustee expense reimbursement for trust fund meetings.

11.b. Approximate dollar value of such dealing. \$5,941

12.a. Nature of interest held or income received.

12.b. Amount.